How to Read The Progress Report

- The Progress Report shows progress on the total number of rulemakings required by the Dodd-Frank Act. It does not attempt to prioritize rules by importance.
- This version of the Progress Report only includes rulemakings explicitly required by the Dodd-Frank Act. Many more rulemakings will be needed to implement Dodd-Frank’s mandates.
- Required, proposed, final and missed rulemakings are counted based on Davis Polk’s tally of statutory requirements. An agency’s rule release may satisfy several statutorily required rulemakings.
- “Missed” deadlines are rulemaking deadlines that were due, but have not been finalized, as of the date of the Progress Report. Rules issued after the statutory deadline appear as final rules, not missed deadlines. To this point, there have been 3 such rules. Missed deadlines for which there are proposed rules are counted as “Missed,” not “Proposed.”
- Where multiple agencies are required to issue a rule jointly, the rulemaking requirement appears in each of their totals to reflect the burden on regulatory agencies.
- The term Banking Regulators includes the Board of Governors of the Federal Reserve, the FDIC and the OCC.
Statutory Deadlines for Required Rulemakings

As of March 9, 2011

Rulemaking counts are based on estimates and require judgment.

Number of Rulemaking Requirements
(Joint Rules are Counted For Each Applicable Agency)
Dodd-Frank Rulemaking Progress

**Banking Regulators**
- No Published Progress, 116
- Proposed, 17
- Finalized, 7
- Missed, 0

**CFTC**
- No Published Progress, 16
- Proposed, 34
- Finalized, 4
- Missed, 3
- Finalized, 4

**SEC**
- No Published Progress, 58
- Proposed, 28
- Finalized, 6
- Missed, 1

**Other**
- No Published Progress, 84
- Proposed, 4
- Finalized, 4
- Missed, 0

*Rulemaking counts are based on estimates and require judgment.*

*Values Refer to Number of Rulemaking Requirements*
Rulemaking Progress by Due Date

As of March 9, 2011

Number of Rulemaking Requirements

- Proposed
- Missed
- Finalized
- No Published Progress

Rulemaking counts are based on estimates and require judgment.

(Joint Rules are Counted For Each Applicable Agency)

1Q 2011: 10
2Q 2011: 27
3Q 2011: 106
4Q 2011: 37
1Q 2012: 26
2Q 2012: 26
3Q 2012: 16
4Q 2012: Not Specified
After 2012: 42
Not Specified: 111
Annual: 2